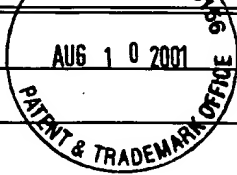

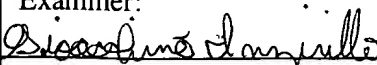


Form PT0-1449		U.S. Dept. of Commerce Patent & Trademark Office		Atty. Docket PDGM-3				SN 09/844,484	
<b>List of Documents Cited by Applicant</b> (Use several sheets if necessary)				Applicant: Evans <i>et al.</i>				Group:	
				Filing Date: 04/27/2001					
Ex'ts In'l		Document Number	Date	Name	Class	Sub-class	Filing Date, if applicable		
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>									
	AR1	"Surface Emitting Semiconductor Lasers and Arrays," Ed. Evans and Hammer, Academic Press, 1993;							
	AS1	"Research Toward Optical Fiber transmission Systems Part 1," Proc. IEEE, 61, 1703-1751, Dec. 1973;							
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	AU1	"Observations and Theory of High Power Butt Coupling to LiNbO <sub>3</sub> -type waveguides," Hammer and Neil, IEEE J. Quantum Electronics, QE-18, 1751-1758, Oct. 1982;							
	AV1	"Laser Diode End Fire Coupling into Ti:LiNbO <sub>3</sub> waveguides," Appl. Optics, 18, 2536-2537, Aug. 1979.							
	AW1	"Bow-Tie Surface Emitting Lasers," Bedford, R., Luo, H., Fallahi, M., IEEE Photonics Tech. Let., Vol. 12, No.8, August 2000.							
	AX1	"Surface Emitting Distributed Feedback Quantum-Cascade Lasers," Schrenk, W. et al., Applied Physics Letters, Vol. 77, No.14, Oct. 2, 2000.							
	AY1	"Analysis of Grating Surface Emitting Lasers," Noll, R.J., Macomber, S.H., IEEE Journal of Quantum Electronics, Vol.26, No.3, March 1990.							
AZ1	"Facetless Bragg Reflector Surface-Emitting AlGaAs/GaAs Lasers Fabricated by Electron-Beam Lithography and Chemically Assisted Ion-Beam Etching," Tiberio, R.C., et al., J.Vac.Sci.Technol. B9 (6), Nov/Dec 1991.								
Examiner: 				Date Considered: 10-7-02					
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List of Documents  
Cited by Applicant  
(Use several sheets if necessary)Applicant: Evans *et al.*

Filing Date: 04/27/2001

Group:

## U.S. PATENT DOCUMENTS

Ex'rs In'l		Document Number	Date	Name	Class	Sub-class	Filing Date, if applicable
g d.	AA1	5,673,284	9-30-97	Integrated Laser and Coupled waveguide			
	AB1	4,919,507	4-24-90	Semiconductor Radiation Coupling System			
	AC1	4,006,432	2-1-97	Integrated Grating Output Coupler in Laser Diodes			
	AD1	5,970,081	10-19-99	Grating Coupled Surface Emitting Device			
	AE1	4,958,357	9-18-90	Grating-Coupled Surface Emitting Laser and Method for the Modulation Thereof			
✓	AF1	6,064,783	5-16-00	Integrated Laser and Coupled Waveguide			

Examiner:

*Giuseppe Amadio*

Date Considered:

10-7-02

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